

**Formation and functional properties of protein-polysaccharide electrostatic hydrogels in comparison to protein or polysaccharide hydrogels**

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1 Abstract

2 Protein and polysaccharide mixed systems have been actively studied for at least 50 years as  
3 they can be assembled into functional particles or gels. This article reviews the properties of  
4 electrostatic gels, a recently discovered particular case of associative protein-polysaccharide  
5 mixtures formed through associative electrostatic interaction under appropriate solution  
6 conditions (coupled gel). This review highlights the factors influencing gel formation such as  
7 protein-polysaccharide ratio, biopolymer structural characteristics, final pH, ionic strength and  
8 total solid concentration. For the first time, the functional properties of protein-polysaccharide  
9 coupled gels are presented and discussed in relationship to individual protein and  
10 polysaccharide hydrogels. One of their outstanding characteristics is their gel water retention.  
11 Up to 600 g of water per g of biopolymer may be retained in the electrostatic gel network  
12 compared to a protein gel (3-9 g of water per g of protein). Potential applications of the gels are  
13 proposed to enable the food and non-food industries to develop new functional products with  
14 desirable attributes or new interesting materials to incorporate bioactive molecules.

15

16 *Keywords:* Protein; Polysaccharide; Mixed electrostatic gel; Gelation Water holding properties;  
17 Functional properties.

18

## 19 **1. Introduction**

20 Proteins and polysaccharides are classified as biopolymers due to their natural origins and their  
21 large polymeric structures. They are commonly used as ingredients in food products for their  
22 important roles in the structure and stability of processed foods such as thickening, stabilizing,  
23 gelling and emulsifying agents etc. Their simultaneous addition may induce intermolecular  
24 interactions offering ways to diversify their functionality. The control of these macromolecular  
25 interactions is therefore of high interest for the development of novel food products. For  
26 example, proteins and polysaccharides can be processed into functional ingredients to form  
27 edible films, to encapsulate vitamins and flavors, to replace fat materials and to form novel  
28 semi-solid food products as electrostatic gels [1-4].

29

30 When proteins and polysaccharides are mixed together in water, depending on environmental  
31 conditions such as pH anionic strength, two different types of interactions can occur:  
32 thermodynamic incompatibility also known as segregative phase separation or thermodynamic  
33 compatibility resulting in an associative phase separation. Segregative conditions prevail when  
34 there is no associative interaction for example between a protein and a neutral polysaccharide  
35 or with a polysaccharide wearing charges similar to the protein (as anionic polysaccharide with  
36  $\text{pH} > \text{isoelectric point (I}_p\text{)}$  of the protein). More detailed information on protein-polysaccharide  
37 segregative systems and their functional properties are discussed in several reviews [5-8]. On  
38 the other hand, thermodynamic compatibility is usually induced by associative electrostatic  
39 interactions between proteins and polysaccharides when both biopolymers carry net opposite  
40 electric charges. These interactions occur at a pH between the proteins'  $\text{I}_p$  and the  
41 polysaccharides'  $\text{pK}_a$ . Under those conditions, different types of structure can be formed  
42 including coacervates, complexes and gels depending on preparation conditions. These  
43 structures may be modulated by several factors such as the biopolymers molecular  
44 conformation, the charge density and the protein-polysaccharide binding affinity [4].

45 Coacervates are the result of a phase separation into two liquid phases. The coacervate is  
46 found in the phase in which the biopolymers are concentrated while the other phase contains  
47 mainly the solvent [9, 10]. Interacting protein and polysaccharide may also form complexes  
48 which are aggregates of fractal nature and separate in a phase denser than coacervates. The  
49 aggregates properties depend on the protein-polysaccharide ratio. When the protein to  
50 polysaccharide ratio allows to reach neutrality of the biopolymer system, a maximum yield of  
51 insoluble complex is produced. Soluble complexes may be obtained when the ratio is far from  
52 equivalent due to the repulsion between residual charges on the biopolymers [4]. For the  
53 interested reader, several reviews on protein-polysaccharide coacervates and complexes  
54 detailing the parameters influencing their formation and their functional properties for food  
55 applications are available [4, 9, 11-15].

56

57 Associative interactions in mixed protein-polysaccharide systems and formation of complexes  
58 and coacervates were studied since the nineteen thirties [16]. Ten years ago, the formation of  
59 gel under electrostatic associative conditions was first reported for a protein-polysaccharide  
60 mixed system [17]. Interaction under quiescent conditions made possible to obtain a gel with a  
61 very low solid content (0.03%) without any heat treatment [18]. It was suggested that they may  
62 be classified as hydrogel as for each g of biopolymers up to several hundred g of water were  
63 retained [19]. Hydrogels are three-dimensional polymeric networks formed by crosslinking  
64 polymer chains through physical, ionic or covalent interactions, that can absorb a large amount  
65 of water while maintaining their structural integrity [20, 21]. However, the amount of water to be  
66 considered as large has not been clearly defined and several authors are using the term  
67 hydrogels for any gelled structure containing water which may induce confusion in the  
68 interpretation. According to Gulrez and collaborators [22], the terms gels and hydrogels have  
69 been used interchangeably by food and biomaterial scientists, respectively.

70

71 In this paper, protein based and polysaccharide based gels will be briefly introduced and their  
72 gelling conditions will be presented for the purpose of comparison with protein-polysaccharide  
73 associative mixed gels. Then, recent progress on the formation and functional properties of  
74 protein-polysaccharide electrostatic gels with a particular focus on the effects of the structural  
75 characteristics of biopolymers and some environmental factors will be reviewed. Other gelling  
76 systems such as synthetic polymer gels are outside the scope of this publication and interested  
77 readers are invited to consult other reviews for these types of gelling systems [23, 24].

78

## 79 **2. Hydrogels based on protein, polysaccharide and protein-polysaccharide mixtures**

### 80 *2.1 Protein hydrogels*

81 Protein gelation is an important phenomenon to obtain desirable sensory and textural attributes  
82 of foods. The gelation of protein has been traditionally achieved by physical treatment (heating,  
83 high pressure), enzymatic and chemical treatments (acidification and addition of salt). Most of  
84 these gelation methods rely on a mechanism involving unfolding of the native protein structure  
85 and aggregation into a gel network that can hold water within its structure. The main protein  
86 gelation methods were reviewed by Totosaus et al. [25]. Generally, the protein network is  
87 stabilized through non-covalent cross-links such as hydrophobic/electrostatic interactions,  
88 hydrogen bonds and/or covalent bonds such as disulfide bonds. The minimal protein  
89 concentration needed to form a gel is specific to each protein and it is influenced by their  
90 structural characteristics and the gelling conditions (Table 1). Some examples of minimal  
91 concentration values are 0.6% for gelatin [26], 3% for egg albumin [26], 6.6% for soy proteins  
92 [27] and from 4 to 12% for whey proteins depending on pH and ionic strength [28].

93

94 The functional properties of protein hydrogels (gel strength, elasticity, water holding capacity,  
95 etc.) depend on the protein intrinsic characteristics, the protein concentration, the ion type and  
96 concentration, the pH as well as the processing conditions used to induce gelation

97 (temperature, time, rate of heating, high pressure treatment, etc.). Globular protein gels have  
98 been categorized in fine stranded and particulate gels [29, 30]. The former is a transparent fine-  
99 stranded protein hydrogel formed when protein solutions are heated at pH far from protein's I<sub>p</sub>  
100 with low ionic strength. The latter is obtained at pH close to protein's I<sub>p</sub> and/or at high ionic  
101 strength, particulate protein hydrogels are then formed. This behavior has been reported for  
102 whey proteins [29-31], egg proteins [32] and other globular proteins [33]. The particulate  
103 hydrogels are coarser, opaque, weak and brittle and retain less water in their structure after  
104 centrifugation compared to a fine stranded protein gel [34, 35]. Additional information on protein  
105 hydrogels properties are presented in section 6. More details on formation, structure and  
106 applications of protein gels can be found in several publications [36-40]

107

## 108 *2.2 Polysaccharide hydrogels*

109 Polysaccharide with their molecular weight ranging from several hundred thousand Daltons to  
110 millions of Daltons through various intermolecular interactions allow gel formation at  
111 concentrations lower than 1% [41] lower values than the one required for protein gelation (Table  
112 1). Several factors influence polysaccharides gelation. Molecular characteristics as the  
113 molecular weight, the monosaccharide composition, the charge density (sulfate/carboxylic  
114 groups) and the conformation (flexibility) are known important factors. Extrinsic factors as the  
115 temperature, the presence of specific counter ions and/or the pH also modulate polysaccharide  
116 gelation. Variation in some of these extrinsic factors may provoke changes in the  
117 polysaccharide conformation from a disordered to an ordered state [42]. Intermolecular  
118 associations between ordered domains form physical crosslinks of the network entrapping  
119 water. The driving force for cross-linking varies between polysaccharides and each has a  
120 specific gelation mechanism and concentrations needed to form a gel. For example, the  
121 aggregation and network formation are driven by hydrogen bonds for agar gelation while ionic  
122 interactions are mainly involved in the gelation process of alginate [43, 44] or low-methoxyl

123 pectin (LM-pectin) [45] and both types of interactions explain carrageenan gelation [46]. The  
124 concentrations required for gelation vary between polysaccharides. Concentrations lower than  
125 1 % for agar gels [47] and 0.7 wt% for  $\kappa$ -carrageenan gels [48] were found. Gel concentrations  
126 ranging from 0.5-2% were reported for alginate depending on calcium concentration and  
127 alginate sources [49]. Some polysaccharides are non-gelling due to conformational restriction or  
128 repulsive conditions hindering gel formation. Xanthan gum is considered as a non-gelling  
129 polysaccharide [50] and only the presence of divalent or trivalent metal ions allowed a sol-gel  
130 transition [51, 52] Similarly,  $\lambda$ -carrageenan is a non-gelling polysaccharide mainly due to the  
131 presence of three sulfate groups per disaccharide units causing repulsive conditions [41]. The  
132 wide range of polysaccharide structures and gelling conditions and their resulting gel properties  
133 offer opportunities to create mixed gels with tailor made attributes.

134

### 135 *2.3 Protein-polysaccharide hydrogels*

136 Proteins and polysaccharides are often used simultaneously to control the structure, the texture  
137 and the stability of food products [5, 8, 12, 53, 54]. These mixtures could provide a gelling  
138 system with different types of gel structures depending on the characteristics of the biopolymers  
139 used and the environmental conditions. Interpenetrating networks, phase-separated networks  
140 and coupled gels could be obtained when at least one biopolymer may form a gel [55, 56]. A  
141 typical interpenetrating network has been observed in bovine serum albumin (BSA)/LM-pectin  
142 mixtures if calcium ions were present. A very weak protein aggregate network formed by  
143 heating was interpenetrated by a LM-pectin network formed with calcium ions upon cooling [57].  
144 Therefore, both biopolymers form two independent gelled networks.

145

146 Phase-separated networks are obtained under segregative conditions when some degree of  
147 demixing between protein and polysaccharides phases occurs prior to gelation. The process of  
148 phase separation is stopped when gelation occurs. The relative rates of phase separation and

149 gelation determine the final structure [58]. The balance between phase separation and gelation  
150 depends mainly on the electrical charges of the biopolymers which are modulated by factors  
151 such as the ionic strength [59], the pH [53, 60, 61] and on the heating/cooling kinetics [62].  
152 When heating rates are slow, the time needed to reach the temperature of protein denaturation  
153 is longer and the phase separation is enhanced compared to a faster heating rate. The  
154 separated phase containing protein is then found as included large spherical areas compared to  
155 a faster heating rate where protein phase is dispersed in small spherical entities. Moreover, for  
156 a system with a constant heating rate, as pH moves away from  $I_p$ , stronger repulsion conditions  
157 resulted in more extended phase separation. Large protein zones were formed preceding  
158 gelation as compared to lower pH conditions in which protein microgel sizes were smaller [59,  
159 62]. Similarly, whey protein in mixture with polysaccharide of varying charge density permit to  
160 modulate gel structure and its properties through the extent of phase separation [63, 64]. Phase  
161 separation imply an increased concentration in the protein phase and this contributes to gel  
162 formation at a lower concentration in a mixed gel as compared to a protein gel [65]. It was  
163 possible to form a gel at 8% protein content at pH 6 with addition of 1% pectin while protein  
164 alone did not gel [63]. Gel strength and water holding capacity of whey protein-pectin gels were  
165 also improved compared to proteins gels. Numerous mixed protein-polysaccharide systems with  
166 phase separated gels were studied in the last three decades and readers are referred to the  
167 following reviews [1, 5, 6, 8, 53, 66].

168  
169 Coupled gel networks are formed under associative conditions when two biopolymers are linked  
170 together through junction zones. This type of gels has been associated to the synergistic effect  
171 observed in some mixtures of two polysaccharides as xanthan gum and galactomannan [67].  
172 However, only few studies have reported the formation of coupled gels in protein-  
173 polysaccharide mixtures [17, 68]. Complex and coacervate structures are most frequently  
174 obtained in protein-polysaccharide mixtures under associative conditions and quiescent



175 conditions are required to reach a gel state [4]. A coupled gel was first reported for a mixture of  
176  $\beta$ -lactoglobulin and xanthan gum [17] and further investigations showed the same behavior for  
177 several other protein-polysaccharide systems (for example: caseinate, bovine serum albumin,  
178 lysozyme with xanthan gum, gellan gum,  $\lambda$ -carrageenan; see section 4.2) [18, 69]. The  
179 minimum biopolymer concentration needed for gel formation varied from 0.03-1 wt% depending  
180 on biopolymer mixtures.

181

### 182 **3. Mechanism of protein-polysaccharide electrostatic gel formation**

183 Since the first report of gelation under electrostatic associative conditions in protein-  
184 polysaccharide system, several methods were used to characterize their structure and  
185 properties allowing to propose a gelation mechanism (Fig. 1) [17, 19, 70]. Polysaccharides in  
186 aqueous solution adopt an extended conformation to reduce electrostatic repulsion and  
187 consequently entropy. In the case of xanthan gum, this polysaccharide exhibits a pseudoplastic  
188 behavior due to xanthan gum molecules end-to-end association [71] or side-side association  
189 [72], which result in a tenuous network of xanthan gum molecules in solution. From light  
190 scattering, rheological and confocal microscopy results, it was proposed that the gelation kinetic  
191 of  $\beta$ -lactoglobulin-xanthan gum mixture occurred in three stages (denoted as I, II and III in Fig.  
192 1) [70]. During acidification, as the pH approaches the  $I_p$  of the protein, the interaction between  
193 positively charged patches on the  $\beta$ -lactoglobulin with negatively charged groups ( $COO^-$ ) on  
194 xanthan gum chains results in the formation of soluble complexes (stage I, Fig. 1). With further  
195 pH decrease, more protein aggregates on xanthan gum chains and the net charge of soluble  
196 complexes is reduced. Soluble complexes aggregate into interpolymer complexes (stage II) due  
197 to the formation of junction zones where two xanthan gum chains might share the same protein  
198 molecules (see the arrows in Fig. 1). The association that occurs as electrostatic associative  
199 interactions increase results in a sol-gel transition at the point of gelation (stage III). The network  
200 of xanthan gum chains provided a frame for gel organization and  $\beta$ -lactoglobulin aggregated

201 along the xanthan gum chains and therefore may be regarded as a crosslinking agent. At high  
202  $\beta$ -lactoglobulin-xanthan gum ratios, the gels may have multiple layers of proteins that aggregate  
203 along xanthan gum chains because larger strands were observed in the gel structures obtained  
204 using confocal laser scanning microscope [70].  $\beta$ -lactoglobulin-xanthan gum system has been  
205 mostly studied, but similar arguments are valuable for electrostatic gelation of other protein-  
206 polysaccharide mixtures.

207

#### 208 **4. Factors influencing electrostatic gelation and gel properties**

209 The primary driving force for the association of proteins and polysaccharides in aqueous  
210 solutions is electrostatic interactions [2, 17, 18, 70, 73]. Hence, the formation of protein-  
211 polysaccharide electrostatic gels is influenced by several factors (Fig. 2). These factors can be  
212 classified as factors modulating electrostatic interactions through the overall charge in the mixed  
213 systems and factors impacting the network backbone structure. Electrostatic interactions  
214 depends on environmental factors (pH and ionic strength), biopolymer charge density and ratio.  
215 The network backbone structure is defined by the nature and the characteristic of each  
216 interacting molecules (molecular weight and flexibility) [4, 13] and biopolymer concentration.  
217 The main factors will be discussed in the next sections.

218

##### 219 *4.1 Shear conditions*

220 Gel formation of associative protein-polysaccharide system is possible only if the acidification is  
221 performed without agitation of the solution (quiescent conditions) [17]. The biopolymers can  
222 then interact progressively as the charges are gradually modified during acidification. Glucono-  
223  $\delta$ -lactone (GDL) is used as acidifier. GDL is hydrolyzed to gluconic acid and thus, allows  
224 gradual and homogeneous lowering of the pH throughout the solution. Acidification using other  
225 types of acid requires mixing and this induces protein and polysaccharide structural  
226 reorganization and complexes formation instead of the formation of a three-dimensional gelled

227 network. Quiescent conditions during acidification is then a prerequisite to obtain a gel. It should  
228 be noted that when the pH of mixture has to be increased to reach a pH > I<sub>p</sub> to allow protein  
229 interaction with a cationic polysaccharide like chitosan, a basic compound should be used as a  
230 pH modifying agent to increase gradually the pH (ex. sodium aluminum phosphate) [18].

231

#### 232 *4.2 Biopolymer nature and characteristics*

233 Protein and polysaccharides structural characteristics affect the gel formation and properties  
234 (Table 2 and 2). Both xanthan gum and λ-carrageenan, two non-gelling polysaccharides, were  
235 able to form a gel in combination with proteins (Table 2). Independently of the protein source,  
236 higher concentration in biopolymers was needed for λ-carrageenan compared to xanthan gum  
237 and it was attributed to their different structural characteristics. The higher molecular weight and  
238 intermolecular association tendency of xanthan gum (>1000 kDa) at rest may facilitate gel  
239 formation through associative interactions with proteins during acidification [56, 74]. In addition  
240 to its smaller molecular weight (300-600 kDa) the higher charge density of λ-carrageenan (3  
241 sulfate groups per disaccharide) induces more entropy in solution and may increase the  
242 concentration necessary to reach an ordered gelled structure [41]. Gellan gum, another  
243 bacterial polysaccharide, allowed to form a gel at intermediate concentration between xanthan  
244 gum and λ-carrageenan. The acyl type gellan gum used is an unbranched, doubled helix and  
245 stiff polymer chain that may form demoldable gels at concentration as low as 0.05 wt%[75]. In  
246 the condition studied, gellan gum alone did not form a gel (results not shown) but in mixture with  
247 protein, a gel was obtained within concentration ranging from 0.07-0.65 wt%. It should also be  
248 considered that the ratio used for comparison (ratio 2) may not be the optimal ratio for the mixed  
249 systems studied as this ratio was 3.5 for β-lactoglobulin-xanthan gum [17, 70]. In summary,  
250 polysaccharide concentration in mixed gelled systems ranged from 0.01-0.36 wt% (Table 2)  
251 while for polysaccharide gels higher concentration were reported (> 0.7 wt%, section 2.2).

252 Moreover, non-gelling xanthan gum and  $\lambda$ -carrageenan were able to form mixed gels showing  
253 the potential of this gelation process to develop new applications.

254  
255 The protein used in the coupled gel formation also influenced the minimal total solid  
256 concentration to achieve gel formation. When  $\beta$ -lactoglobulin and BSA (both globular proteins)  
257 were used to form electrostatic gels with xanthan gum and gellan gum; firmer gels were  
258 obtained with BSA and lower biopolymer concentrations were required to reach the gel point  
259 (Table 2). This observation may be explained by the higher charge density of BSA compared to  
260  $\beta$ -lactoglobulin and also its higher molecular weight (BSA: 66.43 kDa vs  $\beta$ -lactoglobulin: 18.4  
261 kDa) [76, 77]. Therefore, BSA has more reactive sites which may promote stronger electrostatic  
262 interactions with the polysaccharides. In that case, excessive associative interactions caused  
263 spontaneous syneresis and water was expelled from the gel structure [18]. Caseinates with a  
264 disordered protein structure also contributed to gel formation in these coupled gels but higher  
265 concentrations were required.

266  
267 The addition of salt, before gel formation, resulted in an increase in the concentration needed to  
268 form a gel for xanthan gum and  $\lambda$ -carrageenan but the opposite behavior was found for gellan  
269 gum (Table 2). The addition of salt may impact gel formation in two ways: by changing the  
270 biopolymer flexibility and by screening of the charged reactive sites reducing electrostatic  
271 associative interactions between protein and polysaccharide. This happened for xanthan gum  
272 and  $\lambda$ -carrageenan mixed gels. In the case of gellan gum, salt probably induced a reduction of  
273 repulsive interactions between gellan gum molecules favoring intermolecular aggregation at  
274 lower concentration [78]. The effect of salt on gel strength will be presented in section 4.3.

275  
276 In addition to the critical concentration to form a gel, the conformation of biopolymers may also  
277 impact some gel properties as the gel strength of protein-polysaccharide gel systems (Table 3).

278 When longer and stiffer polysaccharides were used, the gels were more elastic with higher final  
279  $G'$  values; e.g. xanthan gum > gellan gum >  $\lambda$ -carrageenan and alginate (Table 3). This is in  
280 accordance with the lower gel critical concentration for xanthan and gellan gums compared to  
281 carrageenan (Table 2). Alginate did not allow gel formation ( $G' < 1$ ) but complexes were formed  
282 even under quiescent conditions (Table 3). Until recently all the systems studied were made of  
283 mixtures with proteins having an  $I_p$  below pH 5 and consequently, were forming gel in acidic pH  
284 conditions only. It is possible to form a coupled gel with a final pH around 7 using basic proteins  
285 (example: lysozyme  $I_p = 10.7$ ) and an anionic polysaccharide [69]. Gels obtained with lysozyme  
286 showed lower  $G'$  compared to the  $\beta$ -lactoglobulin-xanthan gum system (76 vs 342 Pa). This  
287 lower  $G'$  value may be due to different protein properties but it should be also considered that at  
288 pH 7, the residual charge in the lysozyme-xanthan gum mixture is -20 mV compared to -50 mV  
289 for  $\beta$ -lactoglobulin-xanthan gum. For each set of protein and polysaccharide, there are optimal  
290 conditions (pH, ratio) to ensure good gel properties and this will be further discussed in the next  
291 section.

292

#### 293 *4.3 Protein-to-polysaccharide ratio and biopolymer concentration*

294 The protein-to-polysaccharide ratio is critical to control the charge balance between interacting  
295 biopolymers [73, 79] and therefore, imparts the number of protein molecules bound to a  
296 polysaccharide molecule. For a specific protein-polysaccharide pair, there is an optimal ratio for  
297 which electrostatic interactions reach an equilibrium between repulsive and associative  
298 interactions allowing the formation of the strongest gel at a specific pH. This ratio was found at  
299 3.5 for  $\beta$ -lactoglobulin-xanthan gum system at a final pH of 4.4 [70]. The ratio is then the driving  
300 parameter of gel structure and properties. From this optimal ratio, increasing the protein content  
301 (higher ratio) decreased the elastic modulus (Fig 3A), hardness at gel fracture point and gels  
302 were more opaque [17, 19, 70]. As the protein-polysaccharide ratio decreases from 10 to 2, the  
303 gel density has increased characterized by a smaller network pore size and subsequently, gel

304 water retention is improved (Fig. 3B). A linear relationship between gel porosity and syneresis  
305 exists. Larger pore size is associated to higher syneresis values. For a specific ratio, it is  
306 possible to control the gel properties by increasing the biopolymer concentration. The gel  
307 strength increases with concentration while the pore size and syneresis of the gel are reduced  
308 (Fig. 3). This is not attributed to the gel final pH as it depends mainly on the ratio [70]. The large  
309 deformation behaviour is also affected by concentration as the hardness (force to reach fracture  
310 point) increases with higher concentrations [19] and this is consistent with a previous report for  
311 protein networks [80].

312

#### 313 *4.4 Ionic strength*

314 Factors affecting the ionic strength of solution as the presence of minerals and addition of salt,  
315 are expected to impact the electrostatic gel properties. Salt addition (20 to 50 mM NaCl) had a  
316 strong effect on the gelation of  $\beta$ -lactoglobulin-xanthan gum mixture and gel properties (Table  
317 4). The gelation rate ( $dG'/dpH$ ) was slowed with 20 mM NaCl and the gelation process was even  
318 prevented with addition of 50mM NaCl, resulting in electrostatic precipitated complexes instead  
319 of an organized gel network [17, 70]. At 20 mM of NaCl, a more open network with higher pore  
320 size (6.7  $\mu$ m) than the gel without salt was obtained corresponding to a lower final  $G'$ .  
321 Consequently, the gel network had lower water holding capacity and higher syneresis values.  
322 The effect of NaCl is explained by the shielding of charged reactive groups on proteins and  
323 polysaccharides weakening the network structure. It may also impact the entanglement of  
324 xanthan gum chains [81] and therefore its ability to form a coupled gel with protein.

325

#### 326 **5. Stability of electrostatic gels**

327 Electrostatic protein-polysaccharide mixed gels are pH-reversible, inherent to the nature of  
328 interactions involved in their formation. If suspended in a phosphate buffer at pH 7 above the  $I_p$   
329 of the protein or at a pH below the  $pK_a$  of the polysaccharide, the gels liquefy rapidly [70]. As

330 presented previously, the gel is also weakened with salt addition. The instability of these gels to  
331 pH and salt is a limitation for their applications in the food industry. The same challenge exists  
332 for electrostatic complexes and an heat treatment has been proposed as a stabilisation process  
333 to induce the formation of additional bonds (covalent, hydrophobic, etc) [2, 82]. According to  
334 these authors, whey protein–pectin complexes were stabilized with heating conditions (85 °C x  
335 15 min and 90 °C x 2 min). A similar heat treatment (80 °C, 30 min) has been successfully  
336 applied to stabilize electrostatic gels [83]. The stability in phosphate buffer at pH 7 was  
337 dependent on the  $\beta$ -lactoglobulin-xanthan gum ratio. At ratio of 2, the heated gel was  
338 completely melted after 30 min soaking time. However, at ratio of 20, the gel did not melt due to  
339 increased stability after heat treatment. In addition, the stabilization process has increased the  
340 storage modulus ( $G'$ ) of the heated gel (ratio 5: 72-382 Pa). Heating the coupled gel did not  
341 modify the overall structure of the network as the pore size was unchanged. The gel network  
342 has been strengthened by the accumulation of protein on the initial network backbone after heat  
343 treatment as revealed by a brighter network branches as observed in confocal microscopy (Fig.  
344 4D vs 4A). However, the polysaccharide network did not change upon heating (Fig. 4B vs E).  
345 Additional work is needed to determine the optimal heating conditions allowing stabilization of  
346 these electrostatic gels to ensure widespread uses.

347

## 348 **6. Electrostatic gel functional properties**

349 Electrostatic gels are generally formed in conditions under which protein and polysaccharide  
350 would not individually form gels. It is difficult to compare electrostatic mixed gel properties with  
351 previous work performed on proteins or polysaccharides as the gelling conditions and  
352 techniques used vary widely in the literature. Consequently, in this review paper no attempt was  
353 made to link the rheological behaviour of those systems. However, some recent papers  
354 investigating the water holding properties of various globular proteins gels [35, 84-86] used

355 similar methodological approach [87] allowing comparison with electrostatic gels. Therefore,  
356 comparison of protein and electrostatic gels will be outlined next using water holding properties.  
357  
358 The most distinctive characteristic of the electrostatic protein-polysaccharide hydrogels  
359 compared to individual protein or polysaccharide hydrogels is their ability to form a gel at very  
360 low concentration without heat treatment and their exceptional ability to entrap water. Water  
361 holding properties of protein gels are determined by both microstructure [84] and gel stiffness  
362 (resistance to deformation of the gel) [85]. It was proposed that water holding is the sum of  
363 several contributions as molecularly bound water (0.06-0.07g water/g of globular protein), water  
364 captured in the structure of the protein aggregates building blocks (at the submicron level) and  
365 water included in the structure at the micrometer level (also referring to porosity, connectivity)  
366 [84]. These authors associated water loss to length scales where inhomogeneities were  
367 observed in the protein gels (Table 5). Gel structure and water holding properties of some heat-  
368 induced protein gels and electrostatic induced gels are compared in Table 5. The length scale at  
369 which water loss is apparent is in the micrometer range and is specific to each protein system  
370 and gelling conditions. Ovalbumin gels formed at pH 7.5 changed from fine stranded to  
371 particulate types of gel with salt addition and length scales associated with the loss of water  
372 retention vary from 0.1-0.4  $\mu\text{m}$ . Whey proteins fine stranded gels also showed inhomogeneities  
373 at smaller length scales (0.03  $\mu\text{m}$ ) than systems with salt (2  $\mu\text{m}$ ) for which syneresis is  
374 increased by 10 fold. Modification of soy protein with succinyl groups allowed to reduce the  
375 coarsening effect of calcium on gels [86]. This has also improved water retention and held water  
376 in the gel (9.0 g water per g protein for succynilated protein vs 4 for native soy protein). In  
377 summary, these different heated proteins were able to hold from 3-9 g water/g protein,  
378 consistent with previous studies [87]. In comparison, electrostatic induced gels showed much  
379 higher values, up to several hundreds g of water/g biopolymers (Table 5). As seen previously,  
380 the total solid concentration and the ratio significantly impact syneresis (Figure 3B) and



381 therefore held-water. Gels produced at acidic ( $\beta$ -lactoglobulin-xanthan gum) and neutral  
382 (lysozyme-xanthan gum) pH both exhibit similar water holding properties associated with  
383 equivalent gel pore sizes (3.8–4.7  $\mu\text{m}$ ). Furthermore, the gel which has been heat-stabilized  
384 showed similar gel pore size (2.7–2.9  $\mu\text{m}$ ) and water holding properties (Table 5). No  
385 coarseness was observed in the electrostatic gel but the pore size was inversely related to held  
386 water; larger pores being less efficient to retain water. Mixed gel's pore size is larger than  
387 protein gel pore size suggesting a physical entrapment of water in the network. Systems formed  
388 at very low solid contents are then easily breakable. Under shear, gel are broken and  
389 biopolymers are found in a separated phase containing precipitated complexes.

390

391 The relationship between pore size and water holding properties is still mainly descriptive and  
392 the role of some factors such as gel coarseness, heterogeneity and biopolymer type is still not  
393 well understood. For example, capillarity has been proposed as the prevailing hypothesis for  
394 water retention by Stevenson who considered hydrogels has 3D interconnected capillary tubes.  
395 Consequently, according to Young-Laplace equation, as pore size increases capillary pressure  
396 (in a capillary tube) decreases simultaneously with water holding capacity [88, 89]. The use of  
397 methods measuring water holding based on centrifugation an external pressure equivalent to  
398 the capillary pressure will be necessary to remove water from the gel [90]. Compared to protein  
399 hydrogels, mixed gels have larger pore size and water is easily expelled out of the gel in  
400 accordance with the capillarity hypothesis. Therefore, for a specific gel network, factors  
401 (concentration, ratio, biopolymer type) contributing to reduce pore size will improve their water  
402 holding properties.

403

## 404 **7. Potential applications of electrostatic gels and microgels**

405 The electrostatic protein-polysaccharide gels clearly qualify as hydrogels, with their high water  
406 content (up to 600 g water per g biopolymer, ratio 2, 0.1 wt%). As reviewed, several factors can

407 be used to leverage the gel properties. For example, water retention is driven by the biopolymer  
408 source, ratio, concentration, final pH, etc. The term hydrogel applied to cross-linked  
409 macromolecular network was introduced more than 50 years ago. For several decades,  
410 research projects were launched to tailor made hydrogels for various purposes as solute  
411 diffusivity, mechanical properties, etc [91]. Since then, the second generation of hydrogels were  
412 designed to be responsive to environmental changes as the pH, temperature or concentration  
413 and it was the premise to the development of smart hydrogels (formed *in situ*, with desired  
414 release kinetics, etc.). Initially made of synthetic polymer, the use of natural biopolymers is  
415 becoming of increased interest [3]. The protein-polysaccharide hydrogels are based on natural  
416 sources of biopolymers and being formed without heat treatment, they can be considered as  
417 promising natural hydrogels. The electrostatic nature of stabilizing interactions make these gels  
418 responsive to pH changes and temperature. For example, salt addition increases pore size in  
419 electrostatic gels (see section 4.4). Mixed electrostatic hydrogels may find applications in areas  
420 similar to synthetic polymer hydrogels as encapsulation and delivery systems in non-food  
421 applications. In addition, the fabrication process without heat treatment is particularly well  
422 adapted to protect sensitive bioactives. Uses in food may be broad but are limited by the gel  
423 formation process and its pH stability. However, fundamental knowledge on the electrostatic  
424 mixed gel formation and properties may support the thoughtful use of exopolysaccharides  
425 producing strain in yogurt. Some strains are producing anionic exopolysaccharide capable of  
426 interacting with the protein network during gel formation modifying rheological properties [92,  
427 93]. It may be hypothesized that similar network formation and functionality (high water  
428 retention) of the electrostatic gels reported in this review may be involved in these systems as  
429 well.

430

431 Once stabilized by heat treatment, applicability of these type of gels is wider. Hydrogels may be  
432 further processed using shear treatment to produce microgels, also referred as "broken gels"

433 [3]. Microgels are colloidal dispersions of gel-like particles [94] with promising properties [95].  
434 Microgel size and morphology depend on the type of equipment used and the shear treatment  
435 applied. Traditional microgel fabrication are based on emulsion gelation while the sheared gel  
436 process eliminates the need to separate microgels from oil and is easily upscaled [3]. The  
437 processing of hydrogels into microgels allows to expand their potential uses in food systems.  
438 Protein-polysaccharides microgels could contribute to increase water retention in the food  
439 matrix and to improve their rheological and textural effects. They also can serve as  
440 encapsulation and delivery system. Protein microgels were also proposed as alternative  
441 colloidal ingredients for the stabilization of food emulsions [95] and foams [96]. Electrostatic  
442 microgels could also be valuable for these applications based on their amphiphilic character due  
443 to the protein content. Microgels, could also replace fat in order to mimic some of the desirable  
444 characteristics of lipid droplets such as the appearance, mouth feel and texture [2]. The wide  
445 range of water holding capacity of electrostatic gels may also offer new flavor delivery  
446 possibilities and may control food juiciness [97]. In the next years, more work is needed to  
447 validate microgels formation from electrostatic protein-polysaccharide hydrogels and to  
448 determine their functionality in various food systems.

449

## 450 **8. Conclusions**

451 Hydrogels made of protein-polysaccharide interacting through electrostatic interactions may be  
452 produced from several natural biopolymers. They are obtained through a gelation method not  
453 requiring any denaturing step as opposed to protein hydrogel. Porous gels entrapping large  
454 amounts of water are obtained at low concentration. Modulation of hydrogels porosity and  
455 functionality is possible through fabrication conditions (choice of biopolymer, concentration,  
456 protein-polysaccharide ratio, pH and salt content). Mixed hydrogels were compared to other  
457 largely used protein hydrogels showing that electrostatic gels may be obtained at significantly  
458 lower concentrations and were more efficient to entrap water. Stabilization of these hydrogels

459 has been proposed to enlarge their uses and to allow their further processing in microgels.  
460 These microgels have the potential to act as rheological modifiers and emulsion stabilizer  
461 properties.

462

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467

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- 604

Table 1. Proteins and polysaccharides structure and properties.

Polymers	Molecular weight kDa	Flexibility/ conformation	Pk <sub>a</sub> /I <sub>p</sub>	Critical gel concentration	Parameters control gel characteristics	Ref.
<b>Proteins</b>						
β-lactoglobulin	18.4	Globular		Heating ramp up to 85°C: 1 wt% (pH 4.4-5.5) ~ 5 wt% (pH < 4) > 10 wt% (pH > 6)	Protein concentration, heating rate and pH.	[98]
Bovine Serum Albumin (BSA)	66.4	Globular	4.7-4.9	4 wt% (90°C x 45 min, pH 8)	Heating temperature and time, protein concentration, pH, salt.	[99]
Lysozyme	14.3	Globular	10.7	~ 4.3 wt% (20 mM DTT, 85°C x 10 min)	Lysozyme concentration	[100]
<b>Polysaccharides</b>						
Alginate	150-1700	Unbranched, extended random coil	3.38 to 3.65 <sup>1</sup>	0.5-2 wt% (30 mM GDL, 15 mM CaCO <sub>3</sub> )	Alginate Mw and concentration, proportion of mannuronic and guluronic acid residues	[49]
Carrageenan	300-600	Unbranched, semiflexible polymer chain	~4.3	0.5-3 wt%	Type of cations, carrageenan source	[101]
Low acyl-gellan gum	200-300	Unbranched, double helix, stiff polymer chain	~3.6	0.05 wt% (low sugar content, pH 3.5-6.5)	pH, sugar and cations concentration.	[75]
Xanthan gum (XG)	2000	Branched, double helix, stiff polymer chain	~2.8	No gel formation	-	[50]

<sup>1</sup> Refers to the pK<sub>a</sub> of mannuronic and guluronic acid respectively.



Table 2. The minimum total solid concentration of biopolymers for gel formation of several protein-polysaccharide systems at ratio 2.<sup>a</sup>

	Xanthan Gum		λ-Carrageenan		Gellan Gum	
	NaCl addition		NaCl addition		NaCl addition	
	0 M	0.02 M	0 M	0.02 M	0 M	0.02 M
WPI (80 % protein)	0.04	0.10	0.90	nd	0.07	0.05
β-lactoglobulin	0.04	0.15	0.40	nd	0.09	0.06
Na-Caseinate	0.05	0.15	1.10	nd	0.65	0.15
Ca-Caseinate	0.05	0.12	0.90	nd	0.25	0.12
Bovin serum albumin (BSA)	0.03	0.04	0.40	nd	0.09	0.04

<sup>a</sup>Adapted from Laneuville, Turgeon [18].

The gelation was performed in tubes with GDL addition to reach a final pH of 4.6. Values are the minimum total solid concentration of biopolymers at which the gel is not disrupted by inversion of the tube.

nd: No gel were formed at biopolymer concentration below 1.2 wt%.

Table 3. Effect of biopolymers on final storage modulus of electrostatic gels.

Proteins sources	Gelling conditions			Final G' (Pa)			
	Ratio	Total solid wt%	Final pH	Xanthan Gum	Gellan Gum	$\lambda$ -Carrageenan	Alginate
$\beta$ -lactoglobulin <sup>a</sup>	2	0.5	3.4-4	3388 $\pm$ 200	646 $\pm$ 37	5 $\pm$ 3	0.8 $\pm$ 0.3
$\beta$ -lactoglobulin <sup>b</sup>	0.5	0.3	4.1	342 $\pm$ 52	NA	NA	NA
Lysozyme <sup>b</sup>	0.5	0.3	7	76 $\pm$ 1	NA	NA	NA

NA: not analyzed.

<sup>a</sup> Unpublished results

<sup>b</sup> Adapted from de Souza [69].

Table 4. Effect of salt addition on gel properties obtained from mixture of  $\beta$ -lactoglobulin-xanthan gum.

NaCl	dG'/dpH <sup>a</sup>	Final G' <sup>b</sup> Pa	Pore size <sup>c</sup> $\mu\text{m}$	Syneresis <sup>d</sup> %
0 mM	206	396 $\pm$ 7	2.7 $\pm$ 0.1	29.2 $\pm$ 0.8
20 mM	64	100 $\pm$ 16	6.7 $\pm$ 0.4	90.4 $\pm$ 1.2
50 mM	nd	8 $\pm$ 3	nd	nd

The mixture of  $\beta$ -lactoglobulin-xanthan gum had a total solid concentration of 0.36 wt% and ratio of 5. Adapted from Le [83].

<sup>a</sup> dG'/dpH: Gelation rate, meaning the increase of G' with pH reduction, calculated as the average of  $\Delta G/\Delta \text{pH}$  for 5 consecutive measurements, the first measurement was taken at the gelation point when the G' value rose above 1 Pa.

<sup>b</sup> Final storage modulus of gel at pH 4.4.

<sup>c</sup> Pore size : Average diameter estimated by image analysis of confocal micrographs using ImageJ [19].

<sup>d</sup> Syneresis was measured after centrifugation (120 g x 4 min) [19].

nd: not determined due to formation of complexes instead of gels.

Table 5: Water holding properties of biopolymer gels in relation with gel coarseness (length scales or gel pore size).

Gel types and gelling conditions	Length scales <sup>a</sup> μm	Pore size <sup>b</sup> μm	Syneresis %	Held-water g water/g biopolymer	Centrifugation parameters <sup>d</sup>	Ref.
Heat-induced protein gels						
Ovalbumin gels (12 wt%, pH 7.5, 95 °C, 20 min)						
0 mM NaCl	0.1		5 <sup>c</sup>	7 <sup>c</sup>	100 kPa, 10 min	[85]
300 mM NaCl	0.4	nd	55 <sup>c</sup>	3 <sup>c</sup>		
Whey protein isolate gels (14 wt%, pH 7.2, 95 °C, 30 min)						
0 mM NaCl	0.03		5 <sup>c</sup>	6 <sup>c</sup>	300 kPa, 10 min	[35]
300 mM NaCl	2.00	nd	50 <sup>c</sup>	3 <sup>c</sup>		
Soy protein gels (10 wt%, 100 mM CaCl <sub>2</sub> , pH 7.0, 95 °C, 30 min)						
0% degree of succinylation	4.7		60 <sup>c</sup>	4 <sup>c</sup>	20 kPa, 10 min	[86]
73% degree of succinylation	0.2	nd	0 <sup>c</sup>	9 <sup>c</sup>		
Electrostatic-induced gels						
β-lactoglobulin-xanthan gum gels (final pH 4.4, 25 °C)						
0.3 wt% ratio 0.5		3.8	3	321	14.52 kPa <sup>e</sup> , 4 min	[19, 69]
0.36 wt% ratio 5	nd	2.7	29	196		
Lysozyme-xanthan gum gels (final pH 7, 25 °C)						
0.3 wt%, ratio 0.5	nd	4.7	4	318	14.52 kPa <sup>e</sup> , 4 min	[69]
Electrostatic-induced gels stabilized by heating						
β-lactoglobulin-xanthan gum gels (final pH 4.4, 25 °C → heat treatment 80 °C, 30 min).						
0.36 wt%, ratio 5	nd	2.9	41	164	14.52 kPa <sup>e</sup> , 4 min	[83]

nd: not determined

<sup>a</sup> Length scales correspond to the network size at which were observed inhomogeneities from microscopy images [85].

<sup>b</sup> Pore size as determined by ImageJ analysis of confocal micrographs [19]

<sup>c</sup> Values estimated from data presented in each reference.

<sup>d</sup> Centrifugation method as described by Kocher and Foegeding [87].

<sup>e</sup> This value was determined from the equation proposed in [35] and it represents a centrifugation force of 120 g.

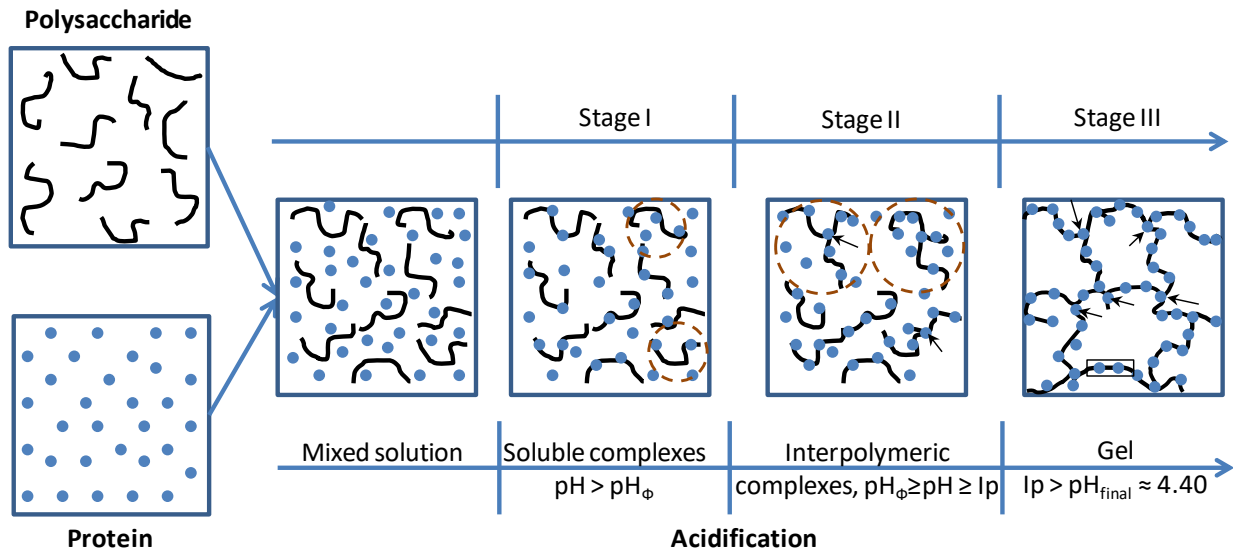


Fig. 1. Mechanism of electrostatic gel formation between  $\beta$ -lactoglobulin and xanthan gum. The arrows represent electrostatic cross-linking zones of xanthan gum chains by  $\beta$ -lactoglobulin and the rectangle highlights the aggregation zone.  $pH_{\Phi}$ : pH of formation of interpolymeric complexes.  $I_p$ : Isoelectric point of the protein.

Adapted from Le and Turgeon [70].

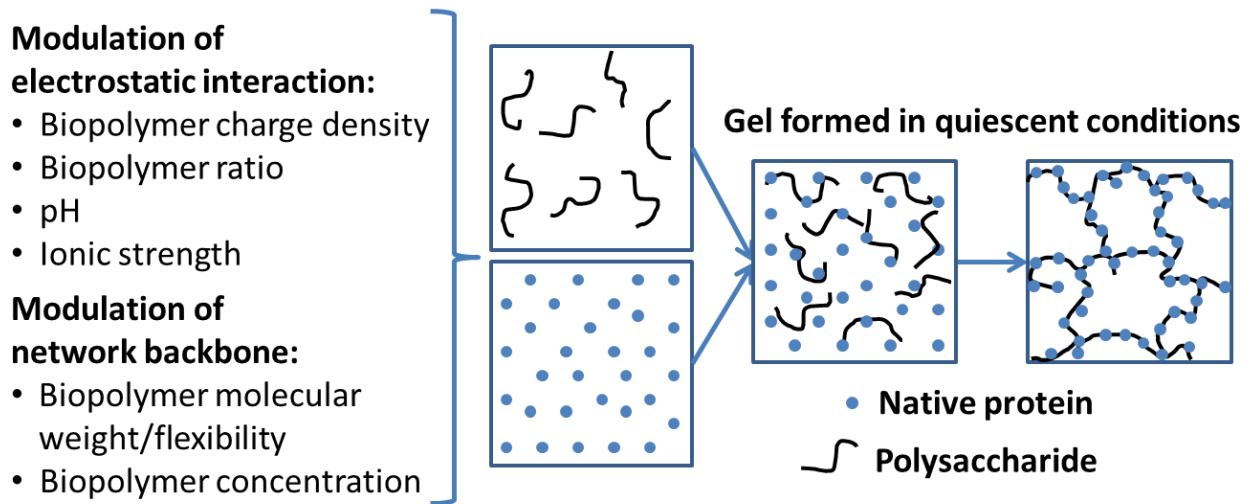


Fig. 2. Factors influencing the formation of electrostatic protein-polysaccharide gels.

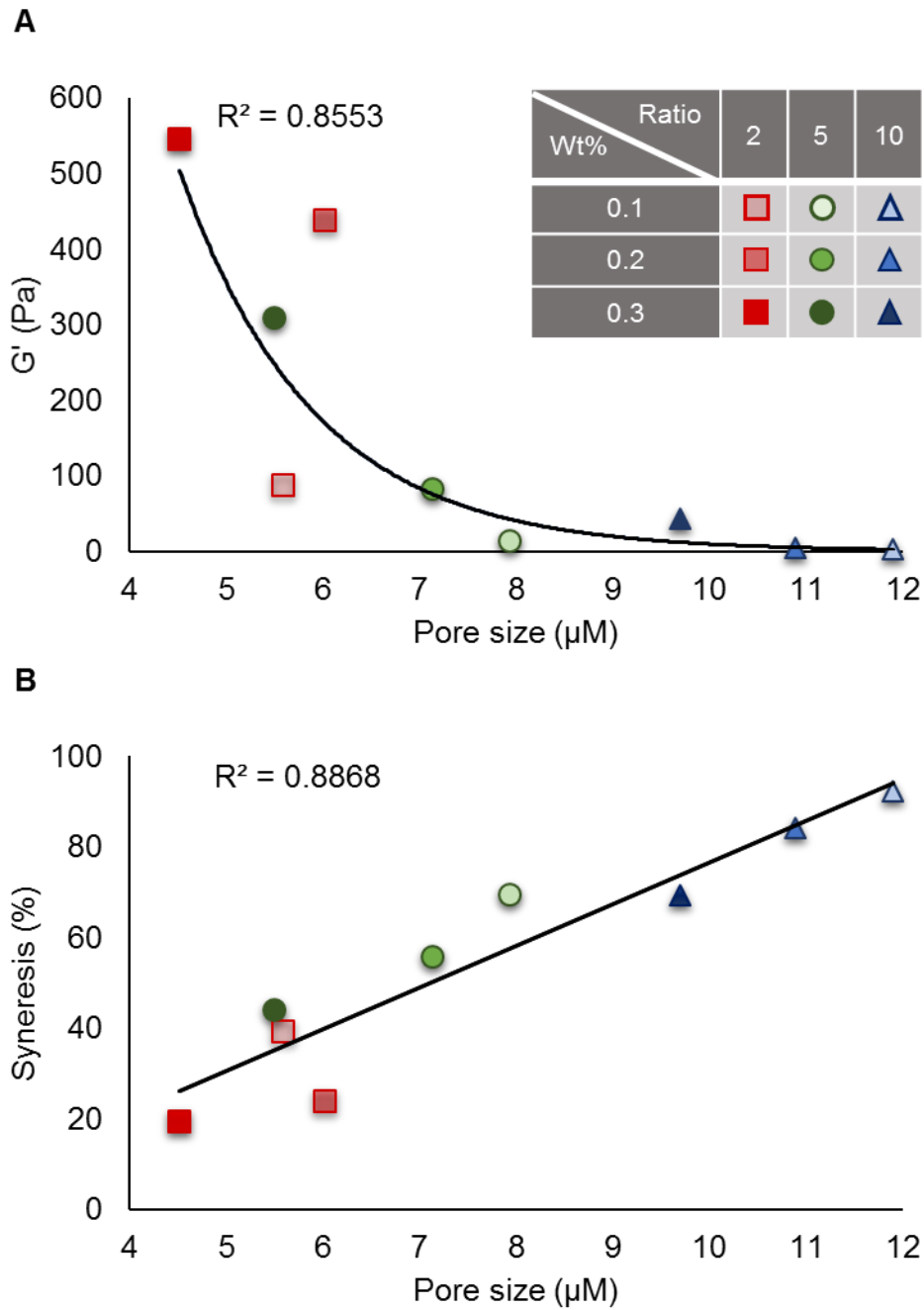


Fig. 3. Relationship between electrostatic gel properties (final  $G'$  and syneresis) and the network pore size. The mixtures of  $\beta$ -lactoglobulin and xanthan gum had a total solid concentration of 0.1-0.3 wt% and a ratio of 2, 5 and 10. Adapted from [19].



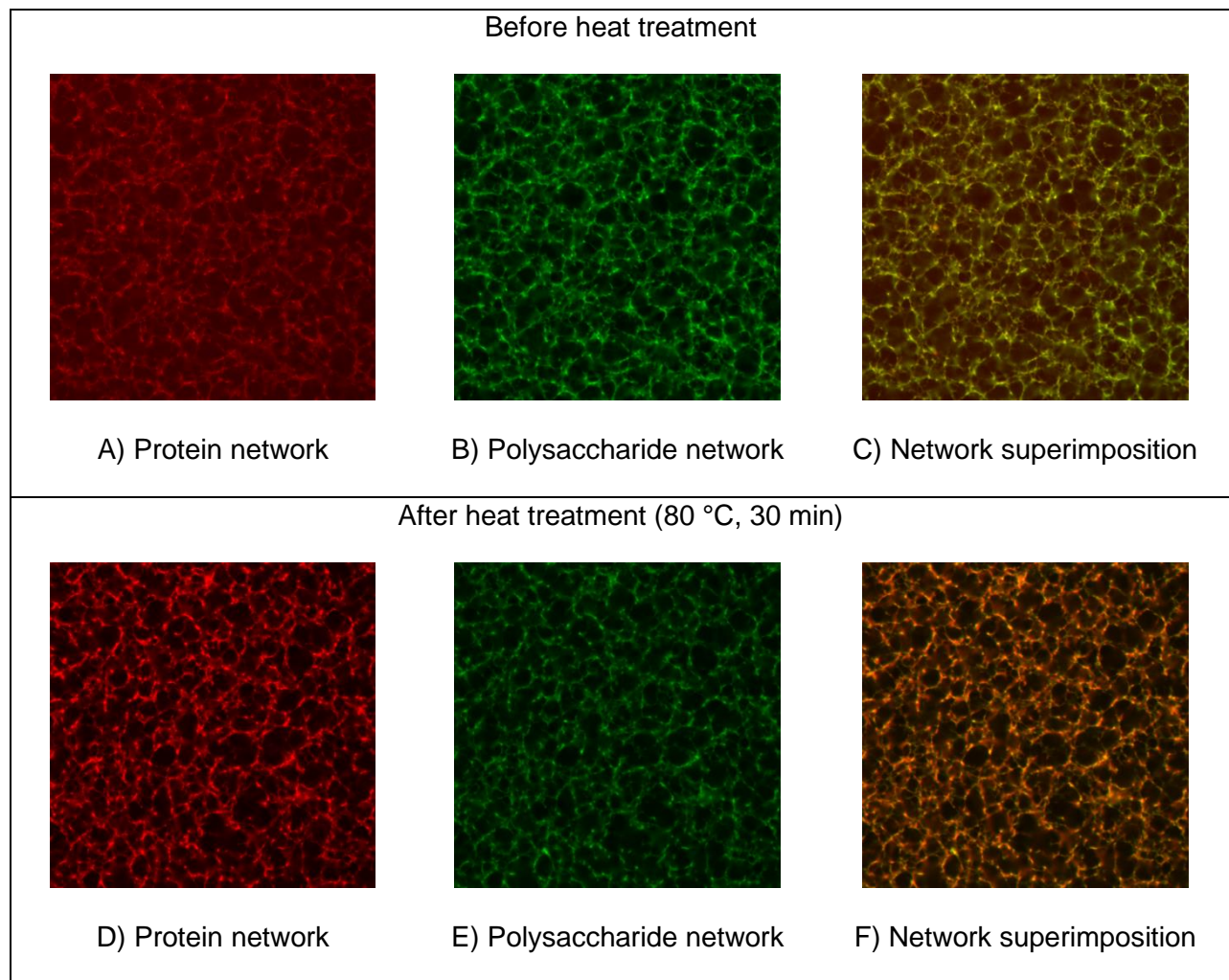


Fig. 4. Effect of heat treatment on of  $\beta$ -lactoglobulin-xanthan gum gel structure observed by confocal laser scanning microscopy. The mixture contained 1.2 wt% of  $\beta$ -lactoglobulin and 0.06 wt% of xanthan gum (ratio 20). The images represent an area of 91.87 x 91.87  $\mu\text{m}$ . Adapted from [83].