Functional genomic screening identifies dual leucine zipper kinase as a key mediator of retinal ganglion cell death

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laucosa, a major cause of blindness worldwide, is a neurodegenerative optic neuropathy in which vision loss is caused by loss of retinal ganglion cells (RGCs). To better define the pathways mediating RGC death and identify targets for the development of neuroprotective drugs, we developed a high-throughput RNA interference screen with primary RGCs and used it to screen the full mouse kinome. The screen identified dual leucine zipper kinase (DLK) as a key neuroprotective target in RGCs. In cultured RGCs, DLK signaling is both necessary and sufficient for cell death. DLK undergoes robust posttranscriptional up-regulation in response to axonal injury in vitro and in vivo. Using a conditional knockout approach, we confirmed that DLK is required for RGC JNK activation and cell death in a rodent model of optic neuropathy. In addition, tozasertib, a small molecule protein kinase inhibitor with activity against DLK, protects RGCs from cell death in rodent glaucoma and traumatic optic neuropathy models. Together, our results establish a previously undescribed drug/drug target combination in glaucoma, identify an early marker of RGC injury, and provide a starting point for the development of more specific neuroprotective DLK inhibitors for the treatment of glaucoma, non-neuroglaucomatous forms of optic neuropathy, and perhaps other CNS neurodegenerations.


Conflict of interest statement: D.S.W., Z.Y., S.E.M., H.Q., C.A.B., and D.J.Z. are inventors on patents related to the work described; these patents are being managed by The Johns Hopkins University School of Medicine. W.W.H. and the University of Florida have a financial interest in the use of adeno-associated virus therapies and own equity in a company (AGTC Inc.) that might, in the future, commercialize some aspects of this work.

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in a rodent model of glaucoma. Our findings, which implicate DLK as being an important player in pathologic neuronal cell death, fit well in the growing literature demonstrating DLK’s role as a key signaling molecule in synapse formation, neuronal development, axonal injury and regeneration, and developmental neuronal degeneration (16–23).

Results

RNAi-Based Screen Identifies DLK. To develop a biologically relevant RGC survival assay, we chose to use primary RGCs immunopanned from perinatal mice (24). Despite the inherent challenges in the use of primary neurons, they are more likely than established cell lines to be predictive of in vivo efficacy (25). Individually inhibiting the function of each kinase in the genome required an efficient method for siRNA delivery to the primary RGCs. Because traditional transfection procedures were either toxic or minimally effective with RGCs, we adapted a magnetic nanoparticle-based reagent (NeuroMag, Oz Biosciences) for high-efficiency, high-throughput siRNA delivery (Fig. 1A). NeuroMag-based transfection resulted in consistent and efficient suppression of target gene expression in unselected RGC populations (Fig. S1).

Using this approach, we screened an arrayed library of 1,869 siRNAs against 623 kinases, providing threefold coverage of the mouse kinome, for the ability to promote the survival of RGCs grown in neurotrophin-deficient media (Fig. 1B). To minimize the number of false-positive leads resulting from off-target silencing, we took the conservative approach of focusing only on kinases for which all three siRNAs were protective. Indeed, only two kinases met this criterion: DLK and its only known substrate, mitogen-activated protein kinase kinase 7 (MKK7) (26). Secondary testing, using an independent set of siRNA with distinct targeting sequences, confirmed that both kinases were the relevant targets (Fig. S2A). Supporting the biological relevance of this finding, MKK7 and its homolog, MKK4, are the canonical activators of JNK1–3 (27), key regulators of RGC cell death (9–12). As an additional validation that our siRNA finding was specifically a result of DLK pathway inhibition, RGCs were isolated from mice containing a floxed allele of Dlk (22) or wild-type controls and then transduced with adenovirus expressing the PI bacteriophage Cre recombinase or a GFP control. Similar to the results with RNA interference, genetic deletion of DLK led to increased RGC survival (Fig. S2B).

DLK Down-Regulation Promotes Long-Term Survival and Function of RGCs in Vitro. We next studied the kinetics of RGC cell death after DLK knockdown. Immunopanned RGCs were transfected with Dlk siRNA or a nontargeting control and followed over time. By 24 h, Dlk siRNA efficiently reduced DLK expression at both the mRNA and protein levels. Consistent with DLK being a major activator of JNK in injured RGCs, DLK knockdown inhibited JNK phosphorylation, indicating attenuation of downstream JNK signaling (Fig. 1C). By 48 h there was a clear survival effect (Fig. 1D). Although there were very few live control cells, RGCs transfected with Dlk siRNA had greater than 50% viability. The prosurvival effect of DLK inhibition persisted for at least 3 wk. We found that Dlk mRNA levels stayed low throughout this period, which is consistent with reports that siRNA knockdown in postmitotic neurons can be long-lived (28, 29).

Axonal injury typically reduces the expression of many RGC-specific markers secondary to the down-regulation of the Brn3 family of transcription factors (30). However, in RGCs with DLK knockdown, Brn3 continued to be expressed (Fig. 1E). This suggested that DLK may be a relatively upstream injury signal and that injured RGCs, in the absence of DLK signaling, maintain characteristics of uninjured RGCs. At the functional level, patch-clamp recordings showed that RGCs kept alive for 2 wk with Dlk siRNA continue to generate action potentials in response to depolarizing current (Fig. 1F).

DLK Inhibition Promotes RGC Survival in Vivo After Optic nerve Injury. To test the role of DLK on RGC survival in vivo, we turned to the mouse optic nerve crush model. In response to axonal injury, 50%–75% of RGCs die by 2 wks (31). Mice carrying a floxed allele of Dlk (DlkΔfloxed) were injected intravitreally with a self-complementary, capsid-modified adeno-associated virus 2 (AAV2) (32, 33) expressing Cre. Injection of the AAV2-Cre resulted in Cre expression in nearly 100% of RGCs (Fig. 2A). One week after injection, to allow sufficient time for Cre-mediated deletion of Dlk (Fig. 2B), optic nerve crush was performed. Ten days later, retinal flatmounts were prepared and stained for the RGC-specific marker βIII-tubulin and the number of surviving RGCs was determined. Compared with control animals (DlkΔfloxed mice injected with AAV2-Cre or DlkΔfloxed mice in the absence of Cre), DlkΔfloxed mice injected with AAV2-Cre showed a 75% reduction in RGC loss (Fig. 2C). This increase in RGC survival was associated with decreased JNK phosphorylation (Fig. 2D) and c-Jun expression (Fig. 2E), which are markers of JNK signaling (34, 35). These results suggest that DLK may be the primary kinase responsible for JNK pathway activation after axonal injury.
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cuitin ligase Highwire (36, 37). However, mice with a brain-spe-
translation and/or decreased protein turnover must underlie the
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ed DLK (vatalanib, vandetanib, imatinib, tandutinib, and sorafenib). We then tested them for their ability to promote survival of RGCs in vitro and found that only those with anti-
DLK activity were neuroprotective (Figs. S3 and S4). Moreover, of the inhibitors with neuroprotective activity, there was a correla-
tion between the survival-promoting ED$_{50}$ and the DLK dissociation constant ($R^2 = 0.75$; Fig. 4A). From this group of
neuroprotective inhibitors, we chose tozasertib, a small molecule
originally developed as an aurora kinase inhibitor for cancer
treatment (42), for further in vitro and in vivo analysis. Toza-
sertib is commercially available, amenable to packaging with poly
(lactic-co-glycolic acid) (PLGA) microspheres, and showed sig-
nificant neuroprotective activity, demonstrating increased RGC
survival in a dose-dependent manner (Fig. 4B). Furthermore, the

**Small Molecule Kinase Inhibitor Tozasertib Binds DLK and Promotes RGC Survival.** Our siRNA screening and conditional knockout
results implicated DLK as a key mediator of RGC cell death. Thus, as a proof of principle that our approach could lead to the
identification of small molecules for glaucoma therapy, we ex-
plained whether pharmacologic inhibition of DLK would be
neuroprotective. First, we obtained a series of kinase inhibitors
with known spectra (40, 41), including some that bind DLK at sub-
or low-micromolar doses (tozasertib, crizotinib, foretinib, KW-2449, axtinib, and lestaurtinib) and others that do not bind
purified DLK (vatalanib, vandetanib, imatinib, tandutinib, and sorafenib). Because DLK down-regulation promotes RGC survival, we
wanted to test the complementary hypothesis that increased
DLK protein levels lead to increased RGC survival. We used adenovi-
uirus to overexpress GFP, DLK, or a kinase-dead version of DLK
(K185R) in RGCs (39). Primary RGCs were transduced and survival
measured 48 h later. Consistent with our model, wild-type DLK
overexpression hastened cell death, whereas overexpression of

**Axonal Injury Up-Regulates DLK Expression Through a Posttranscriptional Mechanism.** We next examined the mechanism of DLK regulation.
Surprisingly, and unlike other members of the JNK cascade,
DLK protein is undetectable in uninjured RGCs both in vitro
and in vivo (Fig. 3A and B, Left). However, culturing RGCs in vitro (which necessarily involves axotomy and cell injury) and
transsection in vivo both lead to robust up-regulation of DLK
protein (Fig. 3A and B, Right). In vitro, DLK protein levels increased
more than 10-fold within 18 h from the initiation of cell
increase. In contrast, DLK transcript levels remained relatively
constant during this period (Fig. 3A), indicating that increased
translation and/or decreased protein turnover must underlie the
mechanism of DLK up-regulation. In *Drosophila melanogaster,*
Wallenda/DLK is posttranslationally regulated by the E3 ubiqui-
tin ligase Highwire (36, 37). However, mice with a brain-speci-
cific conditional knockout of Phr1 (Pam/Highwire/RPM-1, the
vertebrate Highwire homolog) show no difference in the overall
brain levels of DLK protein (38). Furthermore, knockdown of
PHR1 in our RGC cultures did not affect DLK levels, suggesting
that either PHR1 regulates DLK levels only in certain settings/
euronal subtypes or that DLK levels in vertebrates are regul-
lated by another as-yet-unidentified protein.

Because DLK down-regulation promotes RGC survival, we
wanted to test the complementary hypothesis that increased
DLK expression can trigger RGC cell death. We used adenovi-
uirus to overexpress GFP, DLK, or a kinase-dead version of DLK
(K185R) (39). Primary RGCs were transduced and survival
measured 48 h later. Consistent with our model, wild-type DLK
overexpression hastened cell death, whereas overexpression of

**Fig. 2.** Genetic deletion of DLK protects RGCs from axonal injury-induced cell death in vivo. (**A**) *Dlk$^{+/+}$* mice were intravitreally injected with adenova-
associated virus 2 (AAV2)-Cre. Seven days after injection, retinal flatmounts were stained for III-tubulin and Cre. (**B**) Three-month-old *Dlk$^{+/+}$* or *Dlk$^{null}$* mice were intravitreally injected with AAV2-Cre. Seven days later, eyes were subjected to optic nerve crush. Four days after injury, retinal flatmounts were prepared and stained for DLK. (**C**) Survival of RGCs 10 d after optic nerve crush in *Dlk$^{null}$* mice (n = 7), *Dlk$^{null}$* mice injected with AAV2-Cre (n = 8), or *Dlk$^{+/+}$* mice injected with AAV2-Cre (n = 9), normalized to uninjured control mice (n = 6). *P < 0.05; *P < 0.005; error bars, SD. (**Right**) Representative images. Immunofluorescent staining of optic nerves (D) and retinas (E) 24 h after nerve crush in the mice described in (C).

**Fig. 3.** DLK protein is up-regulated in RGCs in response to injury. (**A**) Levels of DLK protein (Upper) and mRNA (Lower), normalized to GAPDH, after various times in culture. (**B**) DLK immunofluorescence of retinal sections 72 h after optic nerve transection in rats. (C) Survival, measured by CellTiter-Glo (CTG; Promega) luminescence, of immunoregaged RGCs 48 h after trans-
duction with adenovirus expressing wild-type (WT) or kinase-dead DLK. Western blot showing the up-regulation of DLK protein and corresponding response of the JNK pathway. *P < 0.05; error bars, SD.
same concentrations that increased RGC survival caused a decrease in the phosphorylation of MKK7 and JNK (Fig. 4C). This effect is unlikely to be a result of direct inhibition of MKK7 and/or JNK, as published kinase-inhibitor profiling data indicate that tozasertib does not have significant affinity for either kinase (40, 41). Given tozasertib’s ability to inhibit multiple kinases, we wanted to more directly test whether its neuroprotective activity at least partially involved DLK inhibition. We postulated that if DLK were a key biologically relevant target of tozasertib, then reducing the amount of DLK with siRNA should sensitize the cells to lower doses of tozasertib (Fig. 4D). Indeed, RGCs transfected with DLK siRNA had a left-shift of the tozasertib-survival dose–response curve compared with RGCs transfected with a control siRNA. However, another prediction of our model is that if DLK were a key component of a small molecule kinase inhibitor that protects RGCs in vivo.

To validate tozasertib’s neuroprotective activity in a glaucoma model, we pretreated rats with intravitreal tozasertib- or vehicle-eluting microspheres and then used diode laser treatment of the trabecular meshwork to increase IOP (Fig. S6A) (45). A pretreatment paradigm is appropriate given that glaucoma in humans is thought to result from chronic, repeated injury, and thus drug administration at any point in the disease is likely to affect future degeneration. The drug- and vehicle-treated eyes showed similar degrees of IOP elevation (Fig. S6B). In eyes injected with control microspheres, there was a 60% reduction in RGC cell bodies and optic nerve axons at 1 mo. However, in eyes

Seven days later, optic nerves were transected and RGCs were retrogradely labeled by applying the lipophilic tracer 4-Di-10-ASP to the proximal nerve stump (44). Two weeks after transection, retinal flatmounts were examined by confocal microscopy to identify and quantify the number of surviving RGCs. Vehicle-treated eyes showed an average of 12.0% surviving RGCs compared with 32.3% surviving cells in the tozasertib-treated eyes (Fig. 5A and B). Given the complex pharmacokinetics of intravitreal tozasertib and the possibility of enhanced off-target effects with drug accumulation, it is not surprising that the magnitude of the neuroprotective effect is smaller than with genetic deletion of DLK. Nonetheless, these results validate that our primary RGC screen of druggable targets (i.e., kinases) was able to lead to the identification of a small molecule kinase inhibitor that protects RGCs in vivo.

Tozasertib Promotes RGC Survival in Vivo. We tested the ability of tozasertib to promote RGC survival after optic nerve transection. To provide sustained, local ocular drug delivery, tozasertib-containing, PLGA-based, slow-eluting microspheres were generated (43). The microspheres demonstrated drug release in vitro for more than 1 mo (Fig. S5). For these experiments, rats were chosen over mice because their larger eye size is more amenable to microsphere injection. Wistar rats were pretreated with intravitreal microspheres containing tozasertib or vehicle.

**Fig. 4.** Tozasertib inhibits DLK signaling in RGCs. (A) Correlation between the DLK dissociation constant ($K_d$) and the neuroprotective $E_{50}$ for each of the protein kinase inhibitors tested. (B) Survival of immunopanned RGCs, treated with increasing doses of tozasertib, after 72 h in culture. The shaded area indicates the toxic range for tozasertib. (C) Western blot of the DLK pathway members in RGCs 4 h after immunopanning in the presence of 0, 0.03, 0.06, 0.125, 0.25, 0.5, 1, or 2 μM tozasertib. (D) Survival of cultured RGCs 72 h after transfection with DLK (dashed) or nontargeting siRNA (solid) in the presence of increasing doses of tozasertib. (E) Survival of cultured RGCs 48 h after transduction with DLK-expressing (dashed) or control-expressing (solid) adenovirus in the presence of increasing doses of tozasertib. Error bars, SD.

**Fig. 5.** Tozasertib promotes RGC survival in vivo. (A) Survival of RGCs after optic nerve transection in rats pretreated with intravitreal drug-eluting microspheres containing vehicle ($n = 10$) or 275 ng tozasertib ($n = 5$). (B) Representative images shown to the right. (C) Survival of RGCs after laser-induced ocular hypertension in rats pretreated with intravitreal drug-eluting microspheres containing vehicle ($n = 14$) or 275 ng tozasertib ($n = 14$). (D) Optic nerve axon counts after laser-induced ocular hypertension in rats pretreated with intravitreal drug-eluting microspheres containing vehicle ($n = 14$) or 82 ng ($n = 22$) or 275 ng ($n = 21$) tozasertib. Fellow eyes ($n = 57$) shown for comparison. *P < 0.05; error bars, SD.
treated with tozasertib-eluting microspheres, soma loss was decreased to 21% (Fig. 5C) and axon loss was decreased to 34% (Fig. 5D and Fig. S6C). Taken together, our data suggest that DLK inhibition is a key part of the mechanism by which tozasertib promotes RGC survival in glaucoma and optic nerve crush models. However, as tozasertib is a broad-spectrum protein kinase inhibitor, it is certainly possible that inhibition of kinases other than DLK may also contribute to its neuroprotective activity. Future experiments such as a comparison of the relative efficacy of tozasertib treatment and Cre-mediated DLK knockout in mouse models of glaucoma will be needed to resolve this issue.

Discussion

Large-scale RNAi-based phenotypic screens in lower organisms have successfully identified genes involved in the rescue of neuronal degenerations (46–48). Parallel screens using primary vertebrate neurons, however, have been more difficult because of the challenges in working with and transfecting primary neuronal cell cultures. Using a magnetic nanoparticle-based method that is easily compatible with automation, we have overcome these challenges and performed a kinome-wide survival screen using a disease-relevant primary neuron. This global and unbiased approach led to the identification of DLK signaling as a key cell death pathway in RGC degeneration. Moreover, it establishes the proof of principle for a whole-genome scan in primary RGCs to identify additional potential neuroprotective pathways and drug targets.

Several previous studies have implicated the JNK pathway in both traumatic and glaucomatous models of optic neuropathy (9–12). However, the mechanism by which axonal injury leads to JNK activation in RGC cell bodies has been unclear. Our results, as well as those by Watkins et al. (49), suggest that DLK may be the as-yet-undetected trigger for JNK activation and cell death in injured RGCs. Such a role for DLK integrates well with accumulating data about the involvement of DLK in axonal injury and neuronal apoptosis. DLK has been shown to mediate developmental apoptosis in peripheral motor and sensory neurons (17, 18). In adult peripheral neurons, it has been implicated as an important mediator of distal axonal degeneration (22) and proximal axonal regeneration (16, 19, 20) after axonal injury. It is required for the retrograde transmission of injury mediators such as the JNK scaffold protein JNK interacting protein 3 (JIP3) and phosphorylated S6K (S235/236) and plays a role in the induction of expression of proregenerative genes (21).

The work by Watkins et al. suggests that DLK is also involved in the regeneration of optic nerve axons after optic nerve crush (49). It remains to be determined whether DLK is involved in glaucoma-related axonal degeneration. If axonal degeneration persists even in the setting of DLK inhibition-mediated soma preservation, then associated inhibition of axonal regeneration might a priori seem like an undesirable adverse effect. However, as there is little evidence that regeneration occurs as part of the natural history of glaucoma, such a strategy is unlikely to be harmful At the very least, preservation of RGC cell bodies would provide an important component of a comprehensive neuroprotective therapy. Furthermore, definition of what determines whether activation of DLK signaling leads to primarily axonal regeneration and/or neuronal cell death may make possible the separation of these signals and the development of treatment strategies that could inhibit cell death without interfering with regeneration.

An advantage of promoting RGC survival through DLK-mediated inhibition of JNK signaling, rather than directly inhibiting JNK, is that JNK signaling has a number of important physiologic roles, such as tumor suppression (50). Because multiple independent pathways feed into JNK activation (51), the finding that the DLK branch is the major pathway leading to proapoptotic JNK activation after RGC injury makes possible a more fine-tuned and specific approach. Our demonstration that tozasertib is neuroprotective in both glaucoma and traumatic optic neuropathy models can be seen as a proof of principle indicating that such a strategy can succeed. It also supports the possibility that pharmacologic inhibition of DLK could provide a therapeutic approach for other forms of CNS neurodegeneration.

Materials and Methods

Statistical Analysis. All statistical analyses were performed with the unpaired Mann-Whitney-Wilcoxon test.

Rat Optic Nerve Transection. The optic nerve was exposed by a partial peritomy and intraorbital dissection of the extraocular muscles and then transected with a 25-gauge needle; 4–Di-10-ASP was then applied to the proximal nerve stump. Care was taken to avoid vascular injury during the transection, and retinal perfusion was examined after nerve transection. Two weeks after transection, rats were killed and enucleated. Retinas were flatmounted, imaged with a Zeiss LSM 510 META confocal microscope with a Zeiss Plan-Apochromat 20×/0.75 numerical aperture (NA) objective. Images were taken from four fields of 230 × 230 mm squares located 2 mm superior, inferior, temporal, and nasal to the optic disk. The number of 4-Di-10-ASP-labeled cells with RGC morphology was quantified. Imaging and quantification of RGC survival were performed in a masked fashion.

Rat Laser-Induced Ocular Hypertension. IOP was unilaterally elevated by laser treatment of the trabecular meshwork as previously described (45). Briefly, 6-wk-old Wistar male rats were anesthetized with ketamine/xylazine. On two consecutive weeks, 40–50 532-nm diode laser spots were applied to the prelaminar region (50 μm diameter, 600 mW power, and 0.6 s duration). Under anesthesia, the IOP of laser-treated and fellow eyes was measured with TonoLab (Icare) 1 and 3 d after laser treatment. Four weeks after laser treatment, toluidine blue-stained optic nerves were imaged and the axons were counted. The laser treatment and acquisition of optic nerve images were performed in a masked fashion. RGC somata were measured by Brn3 staining of retina sections. The number of Brn3-positive cells was normalized by the number of DAPI-stained cells in GCL on the same sections.

Mouse Intravitreal Injection and Optic Nerve Crush. Three-month-old male C57BL/6 and DLK floxed mice (Bl6 background) were anesthetized with ketamine/xylazine and intravitreally injected with 10^{10} DNA-containing particles of capsid-mutant (Y444, 500, 730F) AAV2 expressing Cre recombinase from the chicken β-actin promoter. Seven days later, optic nerve was surgically exposed and crushed with Dumont N7 self-closing forceps 1 mm behind the globe for 3 s. Ten days after nerve crush, eyes were enucleated and fixed and surviving RGC was immunostained for jiffy-tubulin and Brn3. The retinas were then imaged with a Nikon Eclipse TE2000-S fluorescence microscope and Plan-Fluor 40×/0.6 objective. Images were acquired from the four fields in the superior, inferior, temporal, and nasal quadrants 1 mm from the optic disk. RGCs were counted manually from each image. In a separate cohort of animals, optic nerves and retinas were sectioned and stained for phospho-JNK and c-Jun, respectively, 24 h after optic nerve crush. Intravitreal injection, optic nerve crush, immunofluorescence, and RGC counting were performed in a masked fashion.

Reagents, RGC Culture, Electrophysiology, AAV Vectors, and Microscopes. See SI Materials and Methods for more details.

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